



COPY

PATENT

Our Docket: P-LJ 5100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
Pawlowski et al.)
Serial No.: 10/032,159)
Filed: December 19, 2001)
For: CARD-DOMAIN CONTAINING)
POLYPEPTIDES, ENCODING)
NUCLEIC ACIDS, AND)
METHODS OF USE)

Examiner: Unassigned

Group Art Unit: 1642

I hereby certify that this correspondence is
being deposited with the United States
Postal Service as first class mail in an
envelope addressed to: Commissioner for Patents,
Washington, D.C. 20231, on June 25, 2002.

By Melanie K. Webster
Melanie K. Webster, Reg. No. 45,201

June 25, 2002
Date of Signature

United States Patent and
Trademark Office
Box Sequence, P.O. Box 2327
Arlington, VA 22202

Sir:

TRANSMITTAL

Responsive to the NOTICE TO COMPLY WITH REQUIREMENTS
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR
AMINO ACID SEQUENCE DISCLOSURES mailed January 28, 2002, the
following are provided:

- X (1) a copy of the NOTICE;
- X (2) a copy of the sequence listing in computer
readable form;
- X (3) a Communication regarding sequences;
- X (4) paper copy of sequence listing, pages 1
through 32;
- X (5) a statement under 37 CFR §1.821(f) and (g);
- X (6) a Petition for Extension of Time; and
- X (7) a check in the amount of \$260.00 to cover the [?]
difference of the two-month extension of time
previously paid for on May 28, 2002, and the
three-month extension fee;
- X (8) a copy of the two-month extension of time
previously filed May 28, 2002.

Inventors: Pawlowski et al.
Serial No.: 10/032,159
Filed: December 19, 2001
Page 2

Please charge any additional fees or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: June 25, 2002



Melanie K. Webster

Registration No. 45,201
Telephone: (858) 535-9001
Facsimile: (858) 535-8949

CAMPBELL & FLORES LLP
4370 La Jolla Village Drive, 7th Floor
San Diego, California 92122
USPTO CUSTOMER NO. 23601



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0420 \$
Box Seq

TRANSMITTAL LETTER		DOCKET NUMBER: P-LJ 5100	
SERIAL NO: 10/032,159	FILING DATE: December 19, 2001	EXAMINER: Unassigned	GROUP ART UNIT: 1642
INVENTION: CARD-DOMAIN CONTAINING POLYPEPTIDES, ENCODING NUCLEIC ACIDS, AND METHODS OF USE			

United States Patent and
Trademark Office
Box Sequence, P.O. Box 2327
Arlington, VA 22202

I hereby certify that this correspondence is
being deposited with the United States Postal
Service as first class mail in an envelope
addressed to: United States Patent and
Trademark Office, Box Sequence, P.O. Box 2327,
Arlington, VA 22202 on July 26, 2002
By: Melanie K. Webster
Melanie K. Webster, Reg. No. 45,201.

July 26, 2002
Date of Signature

In response to the Notice to Comply with Requirements for
Patent Applications Containing Nucleotide Sequence and/or Amino
Acid Sequence Disclosures mailed July 9, 2002 (copy of which is
enclosed), also enclosed are the following:

1. Copy of the Transmittal in response to the Notice to
comply with requirements for patent applications
containing nucleotide sequence and/or amino acid
sequence disclosures as filed June 25, 2002, with the
following attached documents: A copy of the sequence
listing in computer readable form; a copy of a
communication regarding the sequences; a paper copy of
sequence listing, pages 1-32 with attached certificate
of mailing; copy of the statement under 37 CFR § 1.821
(f) and (g); a copy of the petition for extension of
time; a copy of the two-month extension of time
previously filed May 28, 2002; and a copy of the return
postcard stamped by the USPTO, evidencing receipt of
the original documents and disk.
2. A check in the amount of \$126.00, to cover the fee for
the 4 independent claims over 3.

— Please charge my Deposit Account No. 03-0370 the amount of
\$ _____. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of
any fees associated with this communication or credit any
overpayment to Deposit Account No. 03-0370. A duplicate
copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge to Deposit
Account No. 03-0370 any fees under 37 CFR 1.17 which may be
required under 37 CFR 1.136(a)(3) for an extension of time
in any concurrent or future reply requiring a petition for
extension of time. A duplicate copy of this sheet is
enclosed.

Respectfully submitted,

Melanie K. Webster

Melanie K. Webster
Registration No. 45,201
CAMPBELL & FLORES LLP
4370 La Jolla Village Drive
7th Floor
San Diego, California 92122
858-535-9001
USPTO CUSTOMER NO. 23601

01/15/2002 TLOH11 00000015 10032159

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126.00 0P



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,159	12/19/2001	Krzysztof Pawlowski	P-LJ 5100

23601
CAMPBELL & FLORES LLP
4370 LA JOLLA VILLAGE DRIVE
7TH FLOOR
SAN DIEGO, CA 92122



CONFIRMATION NO. 3587

FORMALITIES LETTER



OC000000008421323

Date Mailed: 07/09/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- o This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of \$126 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$126 for a Small Entity

- Total additional claim fee(s) for this application is \$126
 - \$126 for 4 independent claims over 3.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

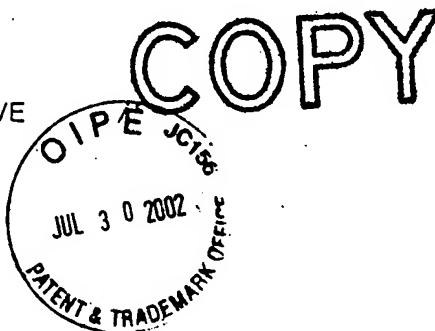


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,159	12/19/2001	Krzysztof Pawlowski	P-LJ 5100

23601
CAMPBELL & FLORES LLP
4370 LA JOLLA VILLAGE DRIVE
7TH FLOOR
SAN DIEGO, CA 92122



CONFIRMATION NO. 3587

FORMALITIES LETTER



OC000000007378504

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$976.
 - \$360 for 20 total claims over 20.
 - \$336 for 4 independent claims over 3.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1846.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

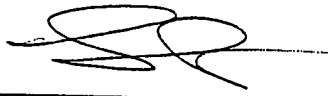
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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PATENT

Our Docket: P-IM 5100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
Pawlowski et al.)
Serial No.: 10/032,159)
Filed: December 19, 2001)
For: CARD-DOMAIN CONTAINING)
POLYPEPTIDES, ENCODING)
NUCLEIC ACIDS, AND)
METHODS OF USE)

Examiner: Unassigned

Group Art Unit: 1642

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envelope addressed to: Commissioner for Patents,
Washington, D.C. 20231, on June 25, 2002.

By Melanie K. Webster
Melanie K. Webster, Reg. No. 45,201

June 25, 2002
Date of Signature

United States Patent and
Trademark Office
Box Sequence, P.O. Box 2327
Arlington, VA 22202

COMMUNICATION

Responsive to the NOTICE TO FILE MISSING PARTS mailed
January 28, 2002, submitted herewith is a paper copy of the
sequence listing, pages 1-32, and a computer readable form of the
sequence listing. Applicants also submit herewith a statement
under 37 CFR § 1.821(f) and (g).

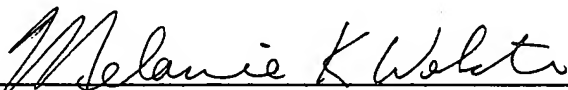
A fee in the amount of \$200.00 to cover the two-month
extension of time was previously filed on May 29, 2002, a copy of
which is enclosed. The difference between the two-month
extension of time already paid for and the three-month extension
of time is \$260.00, which is enclosed.

Inventors: Pawlowski et al.
Serial No.: 10/032,159
Filed: December 19, 2001
Page 2

The Commissioner is hereby authorized to charge payment of any additional fees associated with the filing submitted herewith or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

June 25, 2002
Date



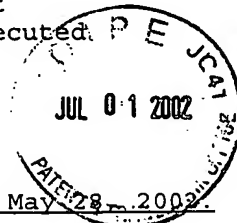
Melanie K. Webster
Registration No. 45,201
Telephone No. (858) 535-9001
Facsimile No. (858) 535-8949

CAMPBELL & FLORES LLP
4370 La Jolla Village Drive
7th Floor
San Diego, California 92122
USPTO CUSTOMER NO. 23601



In response to Notice to File Missing Parts mailed January 28, 2002,
please acknowledge receipt of the accompanying:

- ☒ Copy of Notice to File Missing Parts
☐ Executed Declaration ☐ Executed Power of Attorney
☐ Recordation Form Cover Sheet and executed Assignment
☐ Executed Statement Under 37 CFR 3.73(b) w/copy of executed Assignment ☐ Executed Small Entity Statement
☐ Preliminary Amendment ☒ Sequence listing, pp.1-32
☒ Statement Under 37 C.F.R. § 1.821(f) and (g)
☒ Sequence listing in computer readable form
☒ Other Communication regarding sequences
☒ Other Copy of the two-month extension of time filed May 28, 2002
☒ Transmittal form (in duplicate)
☒ Petition for a three-month Extension of Time (in duplicate)
Applicant's Name Pawlowski et al.
Serial Number 10/032,159 Filing Date December 19, 2001
Examiner's Name Unassigned Group Art Unit 1642
Title CARD-DOMAIN CONTAINING POLYPEPTIDES, ENCODING NUCLEIC
ACIDS, AND METHODS OF USE
☒ Fee \$260.00 Enclosed ☒ Check No.: 028892
☒ Certificate of Mailing - 1st Class Date Mailed: June 25, 2002
Our Docket No.: P-LJ 5100 Date Due: June 28, 2002
Client BURNHAM
Attorney/Secretary MKW/rlm



Place your receiving date stamp hereon and return this card.
FORM UA Amend/Resp